

#AMCOA2023



# ANNUAL CONFERENCE

ASSOCIATION OF MEDICAL COUNCILS OF AFRICA

Team Based Care and Regulation for the Attainment of Universal Health Care

Proudly Hosted By: Rwanda Medical and Dental Council



## Role of the regulator and the various regulatory models

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# Outline

- Regional practices
- International perspectives
- Best practices
- Innovation
- Challenges
- Recommendations



# Purpose and scope of regulation of practitioners

- Oversight of education and training
- Setting and enforcement of practice standards
- Registration and licensing of qualifying practitioners
- Quality assurance in training and practice
- Professional conduct
- Management of complaints



# IAMRA: Principles in medical regulation

- Accountability
- Fairness
- Feasibility
- Materiality
- Transparency and openness



# Medical regulatory models (MRAs)

- Autonomous
- Responsible to government (regional/national)
- Embedded within government
- Hybrid



IAMRA Statement. Independence of Regulation: The Primacy of Patient Safety, August 2021



# Autonomy – what are the criteria?

- Definitions
  - Autonomy: freedom, self-determination, self-government, sovereignty
  - Independence: not being dependent on others; freedom to make laws without being governed or controlled by ...; state of wanting or being able to do things ...make your own decisions, without influence from others
- So, is autonomy compromised when government:
  - Appoints members - some or all?
  - Appoints CEO/ President?
  - Funds council/board?
  - Has representatives on council/board
  - Chairs council/board?
  - Council/board resorts under a government department?



# Autonomous MRA

- Council/board members are nominated or elected by the profession and then appointed by the appropriate appointing authority
- Chairman / President elected from among the members by the members and appointed by government, as applicable
- Registrar/CEO appointed by Council through an open, market driven process
- Government may second or appoint members for a specific purpose, e.g., representation of government department(s) or related sectors
- Council accounts to legislature but reports to the political line manager
- Usually completely self-funding



# Responsible to or embedded within government

- Variable degrees of autonomy due to
  - Ratios of elected vs appointed members
  - Appointment chairman/president by government or secondment of government officials for that role
  - Whole or part funding by government
  - Imposition of professional interest groups by political principals (associations, trade unions)
  - Ability of responsible political principals to call meetings of council as and when they so require
- Hybrid model





# MRAs in Africa: Sources of data

- Country-specific founding legislation (as amended) as the primary source of information
- AMCOA reports
- Website entries



# South Africa Health Professions Council of South Africa

## Established by Act of Parliament

- Regulation of doctors, dentists and allied health professions in public and private practice
  - *No authority over training facilities*
- Governing body is a council (HPCSA) comprised of 12 constituent boards
- Members appointed by Minister of Health from a list of nominees from the professions and members of the public
  - *No provision for professional associations or unions in the composition*
  - *Minimum 20% of council and board members are lay public*
- Self-funding
- Autonomous, self-regulating and accountable to the legislature but reports to the MoH
- Incorporates ombudsman in the complaints handling and investigation
- *5 - year term for Boards and Council*



## Structure of regulatory bodies for doctors and dentists:

Jurisdiction	Composition			Integration	MRA type
	Lay members	Professional members	Gender parity		
EAC	Yes	Minimum 50%	Yes	Stand-out feature	Autonomous Hybrid
ECOWAS	Yes	Minimum 50%	Yes	Some attempts Regional language differences may be a concern	Hybrid (government funding)
SADC	Yes	Minimum 50%	Yes	Still aspirational	Autonomous Hybrid Embedded

# Regulatory bodies for physicians: international perspective

Jurisdiction	Composition			Notes
	Lay (%)	Professional Members (%)	Total Number	
<b>Australia</b> <i>(Medical Board of Australia)</i>	36%	67%	11	Appointed professional members
<b>Canada — varies across provinces</b>				All are elected professional members <sup>a</sup>
<i>British Columbia</i>	33%	67%	15	
<i>Ontario</i>	42%	58%	33	
<b>Hong Kong</b> <i>(Medical Council of Hong Kong)</i>	14%	86%	28	Includes elected and appointed professional members
<b>Malaysia*</b> <i>(Malaysian Medical Council)</i>	0%	100% (with government officials)	33	<ul style="list-style-type: none"> <li>• Director General of the Ministry of Health is the ex-officio President and Registrar</li> <li>• Includes elected and appointed professional members</li> </ul>
<b>New Zealand</b> <i>(Medical Council of New Zealand)</i>	33%	67%	12	Includes elected and appointed professional members
<b>Singapore*</b> <i>(Singapore Medical Council)</i>	0%	100% (with government officials)	25	<ul style="list-style-type: none"> <li>• Director of Medical Services is the Registrar</li> <li>• Includes elected and appointed professional members</li> </ul>
<b>United Kingdom</b> <i>(General Medical Council)</i>	50%	50%	12	Appointed professional members
<b>United States — varies across states</b>				Appointed professional members in most states, some by governors others by medical society lists. Public members by governors
<i>Florida</i>	20%	80%	15	
<i>Texas</i>	37%	63%	19	

\* With strong government oversight.

Note: The Ministry of Health in China (mainland) is the center of health professional regulation, and there is no lay involvement.

Sources: medical council/board of the relevant jurisdictions



# Emerging trends in medical regulation

- Co-creation of regulations, policies and guidelines between professions to manage scope creep
- Government legislation often required for reform of medical regulation
- Growing practice of including lay public on regulatory bodies
- Commitment to gender parity
- Move away from pure self-regulation towards regional partnerships
- Variability in the registration and licensing of foreign qualified practitioners



Acknowledgement: Chung V et.al. 2016



# Summary

## Desirable

### Composition

- Inclusion of lay members
- Selection of members with critical skills
- Leadership with professional insights
- Council appointment of CEO and staff
- Stakeholders
  - Direct representation of training institutions
  - Professional associations
- Integration of regulation

## Threats

- Remuneration/honoraria a potential risk
- Self-funding through annual fees a risk
- Short termism undermines organizational objectives
- No specific requirement for public health practitioners
- Political interference
- Professional associations ( $\pm$  trade unions)

# Recommendations

Appreciate local context (legislative framework, fiscal strength and policy, resource constraints)

- Commission a nuanced report on the state of practice in member states
- Facilitate integrated regulation (SADC)
- Explore feasibility of inter-professional regulation in pursuit of UHC objectives
  - *Interprofessional education*
  - *HPCSA model*
- Enhance fitness for purpose of regulatory body (lay, special skills, gender)
- Review funding model in the interests of sustainability



# About over-regulation ...



“One of the greatest delusions ... is the hope the evils in this world are to be cured by legislation”.

*Thomas B Reech. US House of Representatives, 1886.*

“The greatest dangers to liberty lurk in insidious encroachment by men of zeal, well-meaning but without understanding”.

*Louis Brandels, 1928.*





# Thank You

**NGIYA THOKOZA!**

**ro livhuwa!**

*dankie!*

**ke a leboga!**

**ENKOSI!**

**inkomu!**

**thank you!**

**udo livhuwa!**

**ke a leboha!**

**ngiyabonga!**

**siyabonqa!**

